



11) Publication number:

0 509 214 A2

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### **EUROPEAN PATENT APPLICATION**

21 Application number: 92102848.6

(i) Int. Cl.5: H01Q 23/00, H01Q 21/00

② Date of filing: 20.02.92

3 Priority: 15.04.91 US 685104

Date of publication of application:21.10.92 Bulletin 92/43

Designated Contracting States:
DE FR GB

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(A) High-efficiency bi-directional spatial power combiner amplifier.

(57) The present invention is a high efficiency bidirectional spatial power combiner for extremely high frequency signals. In one embodiment, orthogonally polarized electro-magnetic waves are used to isolate signals going into and out of a planar array of amplifiers. A dual mode horn transmits vertically polarized fields to a MMIC planar array of amplifiers. Vertically polarized array elements receive the signals, amplify them, and retransmit back to the horn using horizontally polarized array elements. An ortho-mode transducer on the horn provides isolation for the two polarized signals. The backside of the array can be used for a heat sink, and to provide access for DC biasing of the array elements. In a second embodiment, monolithic grid oscillators are used in lieu of power amplifiers with orthogonal polarization array elements. The input signal and the output signal are of the same polarization. A circulator is used in lieu of an ortho-mode transducer to isolate the input signal from the output signal.

### **BACKGROUND OF THE INVENTION**

The present invention pertains to amplifiers for extremely high frequency signals. Advanced communication satellites use millimeter wave or extremely high frequency (EHF) bands to obtain large channel bandwidths for high data rate transmission. Typically, travelling wave tube (TWT) amplifiers are used to produce the required output power in the millimeter wave frequency bands, due to output power limitations of solid state amplifiers. However, TWT amplifiers are large, heavy, and not as reliable as solid state amplifiers. They usually have a limited lifetime in space. High DC power consumption is a primary concern with EHF TWT's, which have power added efficiencies on the order of 10 percent. Similarly, diode amplifiers, such as Impatts or Gunn's, have even lower efficiency.

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By contrast, solid state amplifiers with power added efficiencies of 30 to 40 percent can be achieved at EHF (Class B mode) using state-of-theart hetero-junction bipolar transistor (HBT) technology. However, the output power levels of these devices at EHF are in the 20 to 100 milliwatt range, which is far too low for use as a satellite transmitter. The amount of RF output power that can be obtained from a reliable, efficient, and producible active amplifier is limited to approximately 150 MW because of thermal, space, and other constraints associated with the device when used at gigahertz (GHz) frequencies, such as 60 GHz. Thus, large numbers of devices are required to obtain signals of several watts. A commonly used type of microwave power combiner is the corporate combiner, shown conceptually in Figure 1. In corporate combiners the RF fields follow transmission line paths as they combine (or split) in series in a binary tree. Amplifiers are bonded into each leg of the tree (requiring 128 RF bonds for a 64-way combiner). The corporate combiner may include driver amplifiers 31 on the signal input, and medium power amplifiers 33 at stages along the signal paths. High power amplifiers 35 create the amplified signal, which is then combined in the output tree.

Corporate combiners can be made in microstrip using the Wilkinson combiners circuits or in waveguide using magic tees. Output power degradation resulting from failed elements is characterized by: Pout = Ptotal \* (I-n/N)² where N is the total number of elements, Ptotal is the total power of N elements, and n is the number of failed (or noncontributing) elements. This does not correspond to graceful degradation on a one-to-one basis. A 64-way corporate combiner requires 127 waveguide magic tee sections or microstrip Wilkinson combiner sections. This limits the achievable bandwidth. Furthermore, it does not allow for higher combining levels to produce more output power.

In corporate combiners, loss is a function of the number of elements, since the combiner line lengths necessarily increase with the size of the array. The total loss is A\*L; where A is the attenuation per unit length and L is the total path line length.

Typical corporate power combiners, such as Lange couplers or N-way Wilkensen radial combiners, are very inefficient, especially in combining outputs from a large number of amplifiers. The high impedance transmission lines required for matching are unrealizable for large combining ratios. In addition, failure of a single amplifier causes a large degradation in combiner output power.

Another type of microwave power combiner is a spatial combiner, shown conceptually in Figures 2 and 3. Spatial combiners combine the fields in space rather than using transmission lines, using well known antenna principals. The fields are launched from a source 39 with a feed horn 41. A first lens 43 creates a planar phasefront, and MMIC power amplifiers 45 increase the signal level. Uniform energy fields converge to a condensed area (feed horn) 47 using a second lens 49 or a wall structure to guide the fields toward convergence.

In spatial combiners the loss is independent of the size of the array or the number of elements which are being combined. This leads to growth potential since more power can be achieved by merely increasing the size of the array. Losses are only associated with mismatch and transmission through a focusing lens or guided wall structure. Graceful degradation associated with noncontributing array elements is assured since the output power is characterized by  $P_{out} = P_{total}$ \* (I-n/N). Note that in this expression the exponential factor 2 is missing.

Spatial combiners do not have the problem of a large degradation of output power due to failure of a single amplifier because the signal paths are in parallel. This principle is used widely in antenna designs. For example, random array element failure in a large array produces only a minimum effect on the antenna gain and antenna pattern. Using spatial combiner for power amplification follows well-known antenna theory. Furthermore, combining efficiency is not limited to any particular number of elements as in the case of the corporate combiners since the combining loss is almost completely independent of the number of elements.

The concept of using spatial combiners for achieving high output power from a large number of amplifiers has not been widely adapted due to three major problems: 1) Heat generated by the amplifiers cannot be readily dissipated, 2) Fabrication and installation is difficult, complex, and costly, and 3) Routing RF signals to the back side of the array (where the combiner horn is located) requires

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bonding RF interconnections which degrades reliability and performance at EHF. The present invention resolves these deficiencies.

### **SUMMARY OF THE INVENTION**

The present invention is a bi-directional power combiner for spatially combining a millimeter wavelength signals. The invention includes a waveguide horn having a narrow end and a wide end, with the narrow end connected to receive an input millimeter wavelength signal. A plurality of amplifiers are mounted on a mounting plate across the wide end of the waveguide horn for receiving the input signal from the narrow end of the waveguide, amplifying the received signal, and returning the amplified signal toward the narrow end of the waveguide horn. A discriminator connected at the narrow end of the horn discriminates between the input signal transmitted to the wide end of the horn and the returned amplified signal. In one embodiment, the plurality of amplifiers comprises a plurality of grid oscillator amplifiers, and the discriminator comprises a circulator. In another embodiment of the invention, the system additionally includes a polarization for providing the input signal with a first polarization, and wherein the amplified signal is returned with a second polarization, orthogonal to the first polarization, and the discriminator comprises an ortho-mode transducer for propagating the input signal having the first polarization through the horn, and directly the amplified signal having the second polarization into an output port.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1 illustrates conceptually a Wilkinson Microstrip corporate power combiner.

Figure 2 illustrates conceptually a spatial power combiner.

Figure 3 illustrates a feedthrough spatial power combiner.

Figure 4 is a schematic illustration of a preferred embodiment of the ortho-mode spatial power combiner.

Figure 5 is an isometric view, partially in crosssection, of one embodiment of the spatial power combiner of the invention.

Figure 6 is an isometric view, partially in crosssection, of a second embodiment of the spatial power combiner of the invention.

Figure 7 illustrates an array of ortho-linear antenna elements and associated amplifier elements for use in the ortho-mode embodiment of the invention.

Figure 8 is a plot of theoretical power output as a function of aperture width.

Figure 9 is a plot of theoretical power output as a function of aperture width for signals of different frequencies.

Figure 10 is a plot of the number of amplifier elements as a function of aperture width for signals of different frequencies.

Figure 11 illustrates a power amplifier element with an ortho-linear polarization radiating element.

Figure 12 illustrates a power amplifier element with separate receive and send radiating elements.

Figure 13 is a longitudinal cross section of an amplifier constructed according to one embodiment of the invention.

Figure 14 is a plan view of the radiating elements of a single amplifier element.

Figure 15 is a cross-sectional view of the radiating elements of a single amplifier element.

Figure 16 is a diagram of a typical orthogonal polarization active array in a square lattice.

Figure 17 is a cross-sectional view of an embodiment of the spatial power combiner of the invention.

Figure 18 is a schematic diagram of a grid oscillator and amplifier HBT grid arrangement for use in another embodiment of the signal amplifier of the invention.

Figure 19 is a diagram of the interconnection of MESFET's in an amplifier mirror arrangement for use in the present invention.

Figure 20 is a cross-sectional view of the amplifier of the invention incorporating grid oscillator amplifiers.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

Figure 3 illustrates a feedthrough spatial combiner having a signal source for the entering signal. A first lens 43 creates a planar phase front for amplification by the array of MMIC power amplifiers. The second lens 49 focuses the amplified signal onto the output port 51. However, there remains the problem of dissipating the heat generated by the MMIC power amplifiers 45.

## Bi-directional Ortho-mode Spatial Power Combiner Amplifier

A preferred embodiment of the present invention is depicted schematically in Figure 4. As illustrated, the amplifier includes a signal input 61 for receiving a vertically polarized signal, an orthomode transducer 63 feeding a transmission horn 65, a dielectric lens 67, and an array of ortho-linear polarization elements 69 with monolithic amplifiers 71. An input circulator 73 having an RF load may be used to improve the source impedance match.

A signal enters the vertical polarization port 74

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of the ortho-mode transducer 63 and propagates through the horn 65 and through the dielectric lens 67, which focuses a vertically polarized plane wave signal onto the planar, monolithic array of amplifiers 71 that are connected to ortho-linear polarization radiating elements 69. These signals are picked up by the vertically polarized receive radiating elements in the array, amplified, and re-transmitted back to the horn 65 via horizontally polarized radiating elements. The output signal emerges at the orthogonal horizontal port 75 of the ortho-mode transducer 63.

Figures 5 and 6 are isometric views of the ortho-mode spatial power combiner in rectangular and circular configurations, respectively. In these views, the ortho-mode transducer 63 is visible with the input port 74 for receiving the vertically polarized low-power input signal and the output port 75 for the high-power horizontally polarized signal. The transmission hom 65 is coupled between the transducer 63 and the antenna arrays. In the illustrated embodiments, the monolithic power amplifiers with ortho-linear polarization active antenna elements on a GaAs substrate have an array 81 of parasitically excited antenna elements on a dielectric substrate. The array 83 of monolithic power amplifiers with the active antenna elements is shown mounted on a multi-function integration plate 85 with multi-layer DC power interconnects. which is in turn mounted on a heat sink 87.

Figure 7 shows an array of amplifier elements 71 with ortho-linear radiating elements 69.

Although the preferred embodiment of the invention is described as using orthogonal vertical and horizontal polarizations, other polarizations that cause the entering electromagnetic waves and the amplified electromagnetic waves to be substantially non-interfering may be used.

The bi-directional power combiner of the invention has several advantages at millimeter waves over other spatial combining approaches and over corporate combining approaches. Compared to transmission (feedthrough) type spatial combiners, the invention has half the size and weight. More importantly, it resolves the difficulty of removing the heat generated by a very large number of densely packed power amplifiers. The entire backside of the wafer can be mounted to a good heat sink. Moreover, the backside provides an easy access to DC bias points on a monolithic array through via holes incorporated in the monolithic process. Having the radiating elements as part of the amplifier circuitry eliminates all RF interconnections which are necessary in the through-type optical combiners. Thus the amplifier array can be monolithically integrated for better reliability and lower costs. Compared to the corporate combiner, the invention is much more efficient, having lower

RF insertion loss when used to combine outputs from large numbers of amplifiers. The power amplifier of the invention uses spatial combining where the network losses are nearly independent of the number of elements to be combined.

The output power depends on the size of the array and the power output of the individual amplifiers. Theoretically, output power levels of 10 to 30 watts can be achieved in the 20 to 60 GHz range with a 4 inch square array using state-of-the-art heterojunction bipolar transistor (HBT) technology.

Larger arrays or higher amplifier power output may provide in even higher power output since the combining efficiency is relatively independent of array size or amplifier power. Figure 8 shows the theoretical power output (with no combining loss) at 20 GHz as a function of aperture width in inches using 100 and 200 milliwatt amplifier elements spaced by approximately 0.6 wavelength. Similarly in Figure 9, the theoretical output power is plotted for 44, 60 and 94 GHz operation as a function of aperture width using amplifier elements with 50 milliwatt power outputs. Figure 10 shows the theoretical relationship between the maximum number of elements and the aperture width at three millimeterwave frequencies. These curves demonstrate the potential for extremely high output power at EHF bands using amplifiers with only low or moderate power levels.

One important design criteria for the amplifier of the invention is that the isolation between the vertically and horizontally polarized signals must be greater than the RF signal gain of the amplifiers at all frequencies to avoid the possibility of oscillation. The cross polarization isolation requirement is achievable since commercially available orthomode transducers (OMT's) have over 40 dB isolation and stacked micropatch ortho-linear polarization radiating elements having greater than 25 dB isolation have been fabricated and measured. Two types of micropatch radiators are depicted in Figures 11 and 12 respectively. The micropatch ortholinear polarized radiating element shown in Figure 11 includes a single antenna element 69 for receiving the vertically polarized wave and radiating a horizontally polarized amplification of the received signal. Tests indicate that at 2-3 GHz, the radiator shown in Figure 11 has a 25 dB isolation over a 15 percent bandwidth and a 10 dB return loss over a 10 percent bandwidth. The non-contacting ortholinear radiator shown in Figure 12 may provide a greater amount of polarization isolation. This embodiment has a receiving element 69a for receiving the vertically polarized signal, and a separate radiating element 69b for radiating the amplified signal as a horizontally polarized wave.

To maximize power combiner efficiency, both the total insertion loss and the total return loss

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(VSWR) should be minimized. The electric field must be matched both in amplitude and phase at the horn aperture. The optimum design requires a uniform amplitude and phase distribution.

The insertion losses can be minimized by proper selection of materials and fabrication processes, as will be understood by those skilled in the art. The return loss can be minimized by careful engineering and design of each component individually and collectively in the power combiner assembly, as also understood by those skilled in the art. A uniform phase distribution can be achieved with a dielectric lens inserted in front of the array and near-uniform amplitude distribution can be obtained using proper dielectric loading and/or corrugations at the sides of the horn as demonstrated in G. N. Tsandoulas, "Propagation in Dielectric-Lined Square Waveguide"; IEEE Trans. On MTT; MTT-23, No. 5, May 1975; pp 406-410, and S. F. Mahmond, "Propagation and Radiation Behavior of a Longitudinally Slotted Horn with Dielectric-Filled Slots," IEEE Proceedings, Vol. 132, Pt H, No. 7, Dec. 1985.

The system of the invention is shown in crosssection in Figure 13. Visible are the active elements 83 and the parasitic elements 81 separated by a spacer 91.

Figure 14, illustrating a single stacked micropath element, shows the active elements 83 and their corresponding parasitic elements 81. An SMA connector 93 is provided for each active element. The single stacked element is shown in cross-section in Figure 15. Seen in Figure 15 is the metallic support 95, the active element support dielectric 97, and the parasitic element support dielectric 99.

The bi-directional ortho-mode spatial power combiner amplifier assembly of the invention is completed by integrating the ortho-mode combiner assembly (consisting of the ortho-mode transducer (OMT), the dielectrically loaded longitudinally slotted circular feed horn, the dielectric phase correction lens, and the active array assembly (consisting of monolithic amplifier chips integrated in a microstrip patch array, a parasitic micropatch array, and a heat sinking carrier plate). A typical orthogonal polarization active array in a square lattice is shown in Figure 16. This lattice includes ortho-linear polarization radiating elements 69 and power amplifiers 71. DC bias lines 101 are seen connected to the power amplifiers.

Figure 17 is another cross-sectional view of a spatial power combiner constructed according to the invention. Power enters the input port 74 of the ortho-mode transducer 63. The signal is propagated through the conical hom 65 to the dielectric lens 67. The array 81 of parasitic micro-patch elements is spaced from the array 83 of driven

(active) micro-patch elements and amplifiers on a substrate. These elements are mounted on a base plate and heat sink 87 at one end of a congitudinally slotted cylindrical horn 103. A conical cover 105 for the longitudinal slots provides the transition between the conical horn 65 and the longitudinally slotted cylindrical horn 103. A parasitic retaining ring 107 holds the array 81 of parasitic elements in the proper spatial relationship with respect to the array 83 of active elements.

# Bi-Directional Spatial Power Combiner Oscillator Amplifier

Monolithic grid oscillators can be used in lieu of the power amplifier elements previously described. A schematic of such a grid oscillator amplifier array is illustrated in Figure 18, representing the interconnection of many common based HBTs using a grid structure. An individual unit cell waveguide 111 is indicated on the drawing. The devices are oriented with respect to the E and H field so that the H field will induce current in the base leads. Current will flow in the collector leads and power will be amplified by the common base mechanism of impedance transformation. An output wave will be reradiated from the collector leads. All the devices are connected as shown to work together in the uniform E and H fields, i.e., they will work to intercept or generate a plane wave with a broad front (the extent of the array). As shown in Figure 19, they are also connected in such a way that the failure of any one device will not take out a complete row (providing that it fails open circuit as can be contrived).

Referring to Figure 19, boundary conditions on the symmetry lines define the unit cell. The solid lines on top and bottom represent electric walls, and the dashed lines on the sides magnetic walls. Adjacent rows share drain and gate biases.

Figure 20 illustrates the system of the invention incorporating grid oscillator amplifiers. The grid oscillator amplifiers 113 are mounted on one quarter wavelength thick substrate, which in turn is mounted on a conducting (metal) base plate 115. If the substrate for the grid oscillator amplifiers is less than one quarter wavelength thick, the substrate may be bonded to a layer of dielectric material having high thermal conductivity, such as aluminum nitrite, so that the thickness of the substrate and dielectric together is one quarter wavelength.

The feedback power for the grid oscillator amplifiers may be provided by the impedance mismatch of the collimating dielectric lens 67.

Using the grid oscillator amplifiers, the polarization of the input signal and the amplified (output) signal are the same. Thus, the ortho-mode transducer of the embodiment shown in Figure 4 is

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not required. A circulator 117 is used to discriminate between the input and output signals, and the loaded port 119 of the circulator becomes the RF output port.

A longitudinally slotted cylindrical horn 121, preceded by a conical cover 123 for the longitudinal slots, is used in the illustrated embodiment, although other horn configurations may also be used.

The thermal design is an important aspect in the overall design of the amplifier system. To avoid excessive heat buildup in the HBT devices, the devices should have adequate heat sinking to ensure the device reliability. In addition to adequate heat sinking, the material that the GaAs MMIC substrate mounts to must also have similar thermal expansion properties to avoid induced thermal stresses due to temperature variations.

In the thermal design, the GaAs MMIC substrate is soldered to a low temperature ceramic (LTC) substrate which is in turn soldered to a composite sheet of copper-molybdenum-copper. The selection of the materials used in this design were based primarily on thermal expansion properties.

From the above description, those of ordinary skill in the art may construct the invention. However, those skilled in the art will also recognize that certain modifications and adjustments may be made, without departing from the spirit of the invention. Therefore, the scope of the following claims is not to be limited to the specific embodiment described above.

### Claims

- A power combiner for millimeter wavelength signals, the power combiner comprising:
  - a waveguide horn having a narrow end and a wide end, wherein said narrow end is connected to receive an input millimeter wavelength signal;
  - a plurality of amplifiers mounted on a mounting plate across the wide end of said waveguide horn for receiving said input signal from said narrow end of said waveguide, amplifying said received signal, and returning said amplified signal toward said narrow end of said waveguide horn; and
  - a discriminator connected at the narrow end of said horn for discriminating between an input signal transmitted to said wide end of said horn and a returned amplified signal.
- The power combiner of Claim 1, wherein said plurality of amplifiers comprises a plurality of grid oscillator amplifiers.

- The power combiner of Claim 2, wherein said discriminator comprises a circulator.
- 4. The power combiner of Claim 1, additionally comprising a polarizer for providing said input signal with a first polarization, and wherein:

said amplifiers return said amplified signal with a second polarization, orthogonal to said first polarization; and

said discriminator comprises an orthomode transducer for propagating said input signal having said first polarization through said horn, and directing said amplified signal having said second polarization into an output port.

- 5. The power combiner of Claim 4, wherein each of said amplifiers comprises:
  - a first radiator element for receiving said input signal;
  - an electronic amplifier for amplifying said received input signal; and
  - a second radiator element for transmitting said amplified signal with said second polarization.
- The power combiner of Claim 5, wherein said first and second radiator elements comprise a single ortho-linear radiator element.
- 7. An apparatus for amplifying a radiated millimeter wavelength signals, said apparatus comprising:
  - a first antenna array comprising a first plurality of antenna elements for receiving a radiated millimeter wavelength signal;
  - a plurality of amplifiers, each connected to a corresponding one of said antenna elements for amplifying said received signal;
  - a second antenna array comprising a second plurality of antenna elements, each connected to a corresponding one of said amplifiers, for radiating said amplified millimeter wavelength signal, wherein said second antenna array is directed in the same direction as said first antenna array.
- 8. The apparatus of Claim 7, additionally comprising a horn for guiding said millimeter wavelength signal to said first antenna array and said amplified millimeter wavelength signal from said second antenna array.
- The apparatus of Claim 8, additionally comprising a polarizer for applying a first polarization to said radiated millimeter wavelength signal, wherein said second plurality of antenna elements radiate said amplified millimeter

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wavelength signal with a second polarization so that said radiated millimeter wavelength signal and said amplified millimeter wavelength signal substantially do not interfere.

- The apparatus of Claim 9, wherein said first and second polarizations are orthogonal.
- 11. The apparatus of Claim 10, wherein said first polarization is a vertical polarization and said second polarization is a horizontal polarization.
- 12. An apparatus for amplifying a millimeter wavelength electromagnetic signal, the apparatus comprising:

an ortho-mode transducer having an input port for receiving a vertically polarized radiated millimeter wavelength electromagnetic signal;

- a horn having a first end and a second end, wherein said first end of said horn is connected to said ortho-mode transducer for guiding said vertically polarized signal;
- a first plurality of parasitically excited antenna elements spaces across said second end of said horn:
- a second plurality of active antenna elements spaced across said second end of said horn for receiving said vertically polarized signal after said signal passes through said parasitically excited antenna elements;
- a plurality of electronic power amplifiers, each connected to a corresponding one of said antenna elements, for amplifying said received signal;
- a third plurality of active antenna elements, each connected to a corresponding one of said amplifiers, for radiating said amplified signal, wherein:

said second plurality of antenna elements radiates said amplified signal with a horizontal polarization;

said second plurality of antenna elements radiates said horizontally polarized amplified signal through said horn toward said orthomode transducer; and

wherein said polarizer includes an output port for outputting said horizontally polarized amplified signal.

- 13. The apparatus of Claim 12, additionally comprising a lens at said other end of said horn for collimating said radiated vertically polarized signal.
- 14. A method of amplifying a millimeter wavelength signal comprising:

receiving said radiated millimeter wavelength electromagnetic signal with an array of antenna elements;

electronically amplifying said received sig-

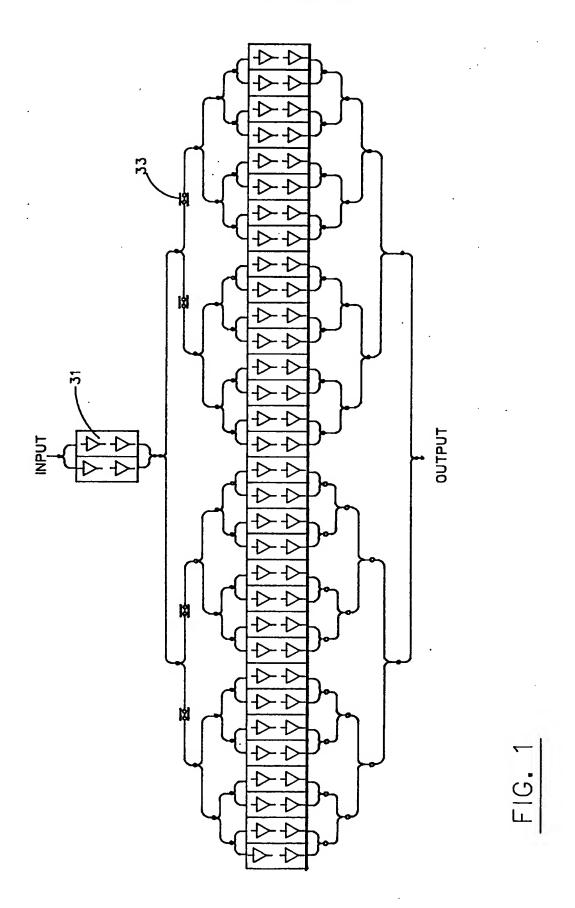
radiating said amplified millimeter wavelength electromagnetic signal with a second polarization so as to be non-interfering with said signal having said first polarization.

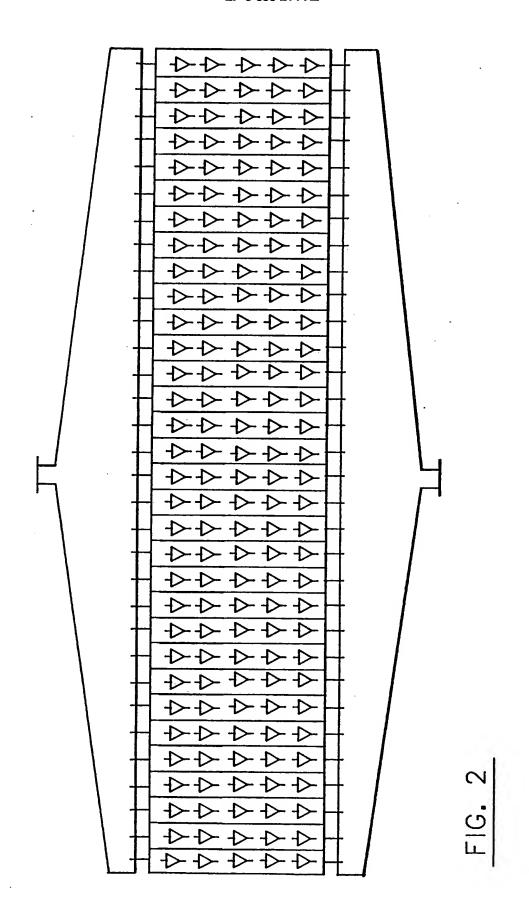
15. The method of Claim 14, additionally comprising polarizing said millimeter wavelength electromagnetic signal with a first polarization, wherein:

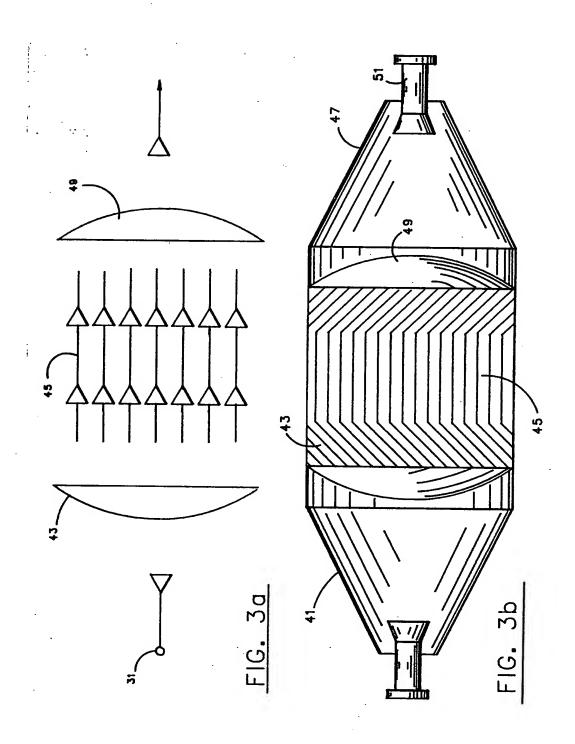
said step of radiating said amplified millimeter wavelength signal comprises radiating said amplified millimeter wavelength electromagnetic signal back toward the direction from which said radiated millimeter wavelength signal was received; and

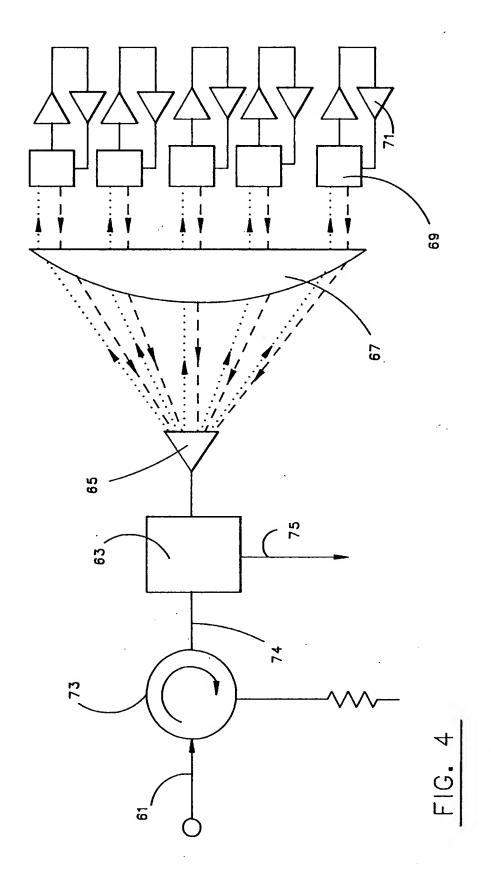
said amplified millimeter wavelength signal is radiated with a second polarization so as to be non-interfering with said signal having said first polarization.

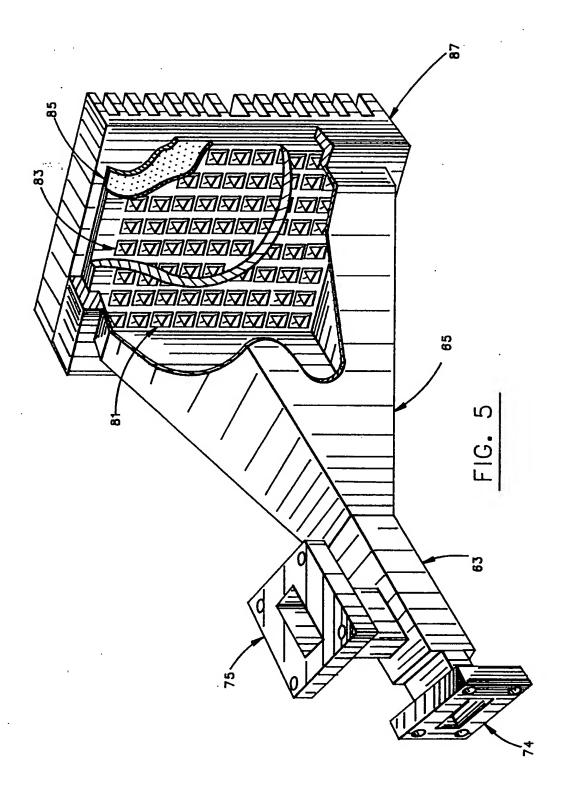
16. The method of Claim 15, wherein said first polarization is a vertical polarization and said second polarization is a horizontal polarization.

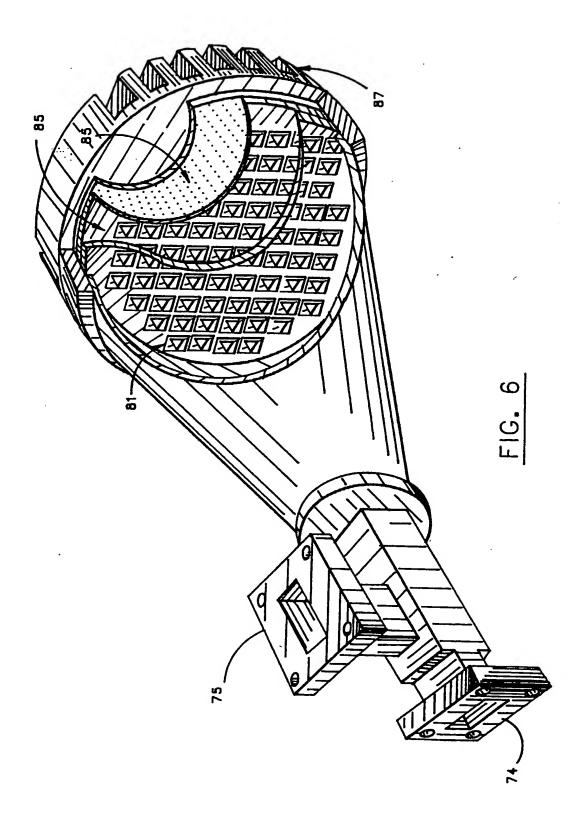


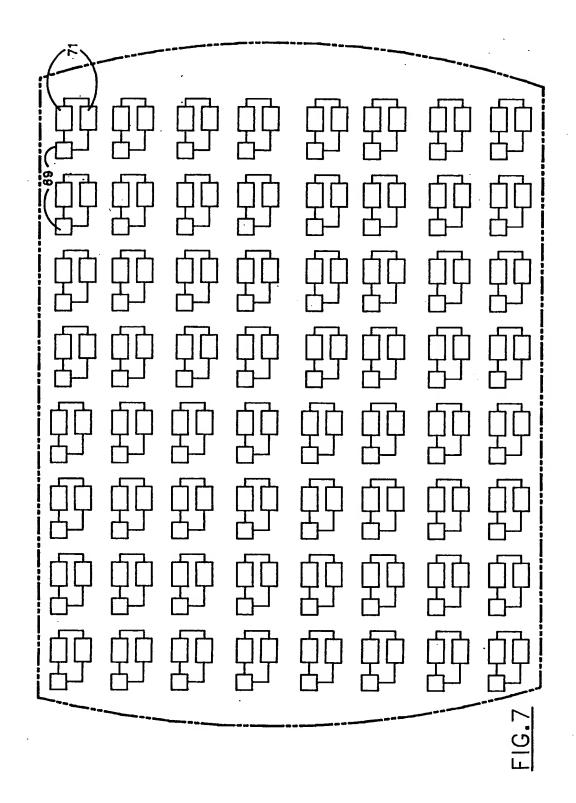












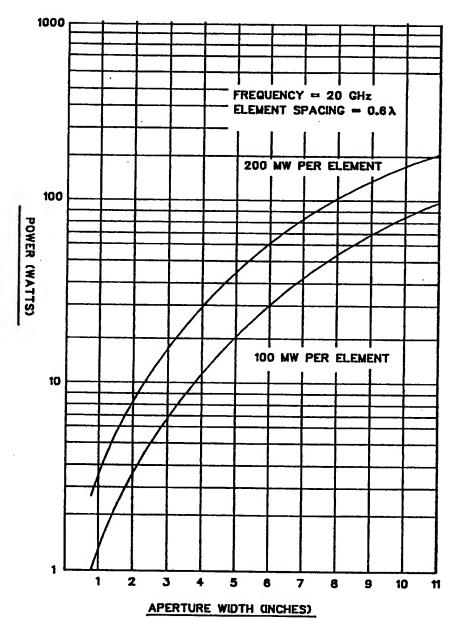


FIG. 8

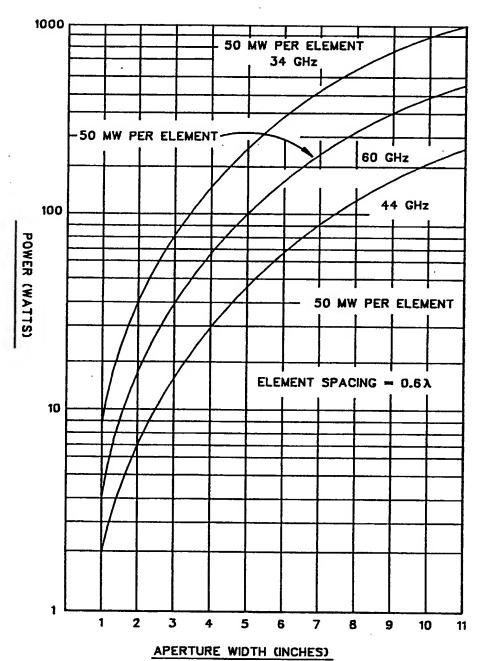


FIG. 9

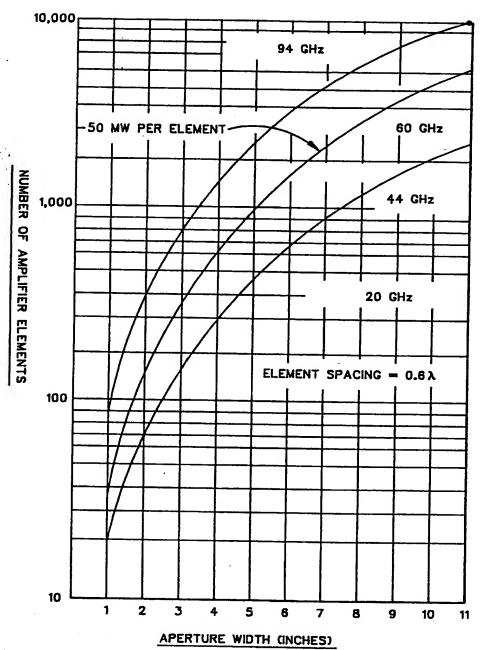
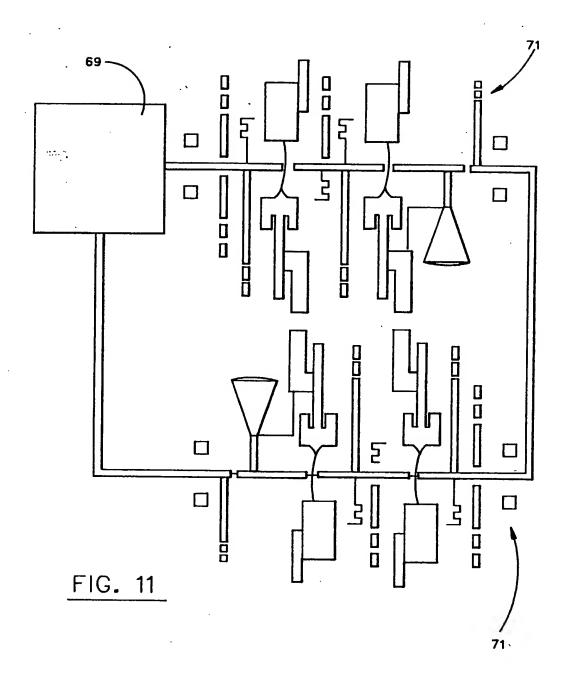
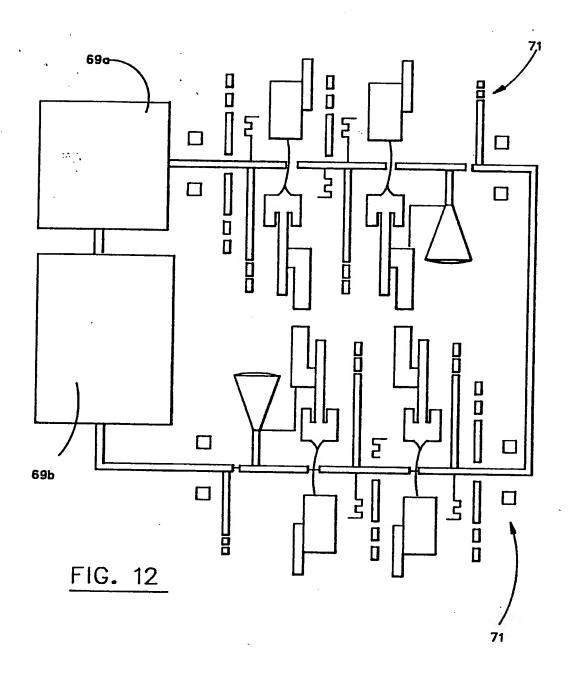
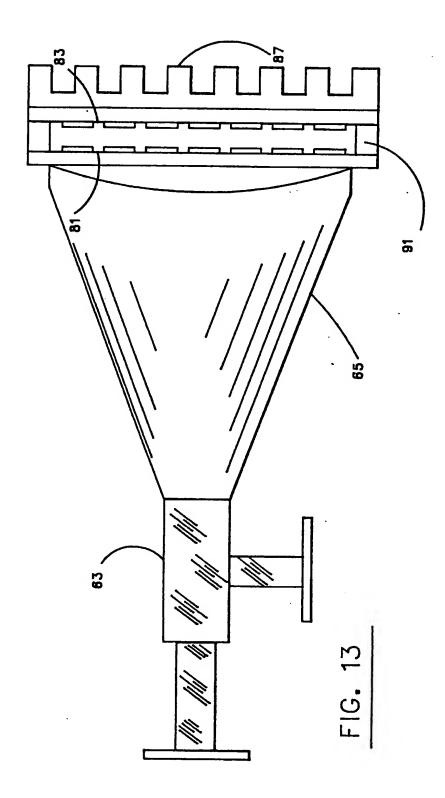
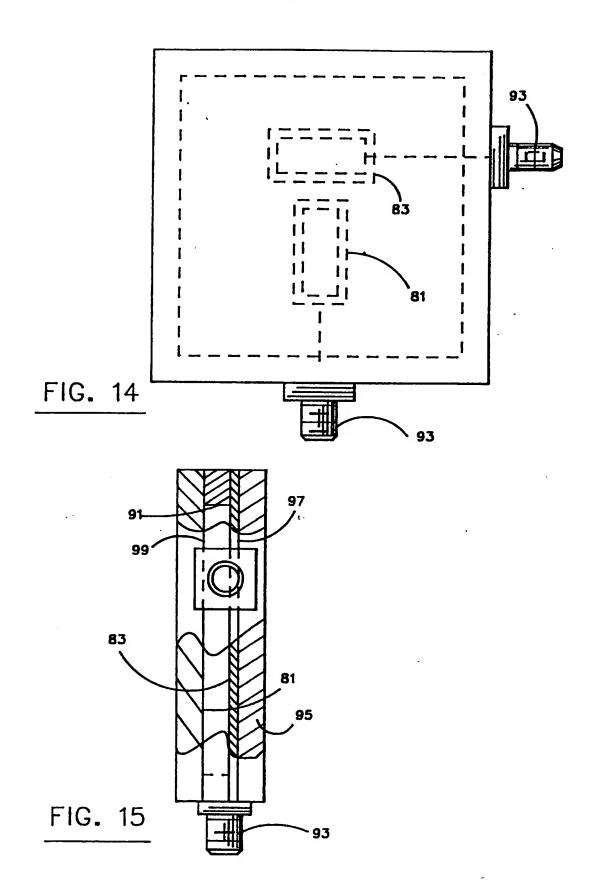


FIG. 10









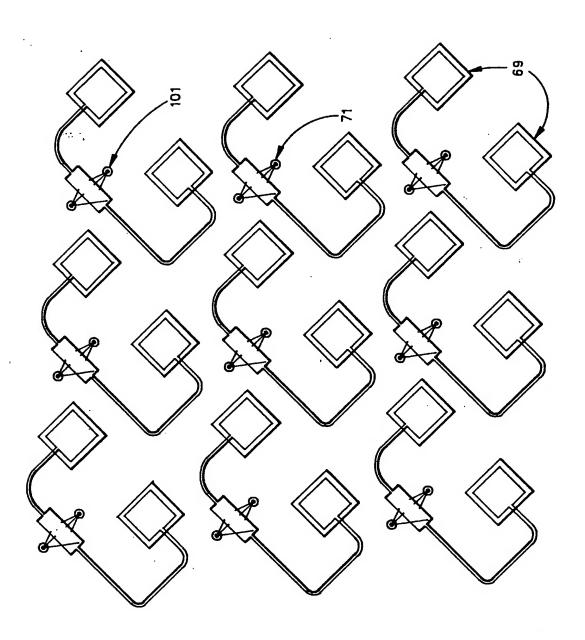


FIG. 16

